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Dated: 10/28/02

Signature: *Anna P. Lucey*

(Anna P. Lucey)

Docket No.: APBI-P04-035
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Baltimore et al.

Application No.: 10/037415

Group Art Unit: 1646

Filed: January 4, 2002

Examiner: Not Yet Assigned

For: NUCLEAR FACTORS ASSOCIATED WITH
TRANSCRIPTIONAL REGULATION

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

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Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

Applicants respectfully submit that no copy of any patent, publication, or other information listed on the enclosed Form PTO/SB/08 is necessary because the citations were made in prior application U.S.S.N. 08/464,364 filed on June 5, 1995 which is relied upon in this application for an earlier filing date under 35 U.S.C. 120.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Dated:

Respectfully submitted,

By

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INFORMATION DISCLOSURE CITATION FOR PATENT APPLICATION (Use several sheets if necessary)	Applicant Baltimore et al.	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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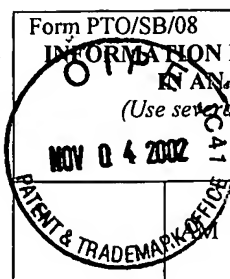
FOREIGN PATENT DOCUMENTS

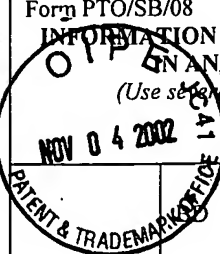
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	AA WO 87/04170	7/16/87	PCT				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

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AC	Baeuerle, P.A. & Baltimore, D. Activation of NF-κB: A Transcription Factor Controlling Expression of the Immunoglobulin κ Light-Chain Gene and of HIV. <i>The Control of Human Retrovirus Gene Expression</i> , Banbury Conference, Cold Spring Harbor, NY 217-226 (1988).
AD	Baeuerle, P.A. & Baltimore, D. IκB: A Specific Inhibitor of the NF-κB Transcription Factor. <i>Science</i> 242, 540-546 (1988).
AE	Baldwin, A. & Sharp, P. et al. Binding of a Nuclear Factor to a Regulatory Sequence in the Promoter of the Mouse H-2Kb Class I Major Histocompatibility Gene. <i>Mol. Cell Biol.</i> 7, 305-313 (1987).
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AG	Ballard, D.W. et al. HTLV-I Tax Induces Cellular Proteins that Activate the κB Element in the IL-2 Receptor α Gene. <i>Science</i> 241, 1652-1657 (1988).
AH	Banerji, J. et al. A Lymphocyte-Specific Cellular Enhancer is Located Downstream of the Joining Region in Immunoglobulin Heavy Chain Genes. <i>Cell</i> 33, 729-740 (1983).
AI	Bergman, Y. et al. Two Regulatory Elements for Immunoglobulin kappa light chain gene expression. <i>PNAS</i> 81, 7041-7045 (1984).
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AK	Bohnenlein, E. et al. The Same Inducible Nuclear Proteins Regulates Mitogen Activation of Both the Interleukin-2 Receptor-Alpha Gene and Type I HIV. <i>Cell</i> 53, 827-836 (1988).
AL	Church, G.M. et al. Cell-type-specific Contacts to Immunoglobulin Enhancers in Nuclei. <i>Nature</i> 313, 798-801 (1985).

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		APBI-P04-035	10/037,415
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		Filing Date	1646
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		Cross, S.L. et al. Functionally Distinct NF- κ B Binding Sites in the Immunoglobulin κ and IL-2 Receptor alpha Chain Genes. <i>Science</i> 244, 466-469 (1989).	
	AN	Davis, N. et al. Rel-Associated pp40: An Inhibitor of the Rel Family of Transcription Factors. <i>Science</i> 253, 1268-1271 (1991).	
	AO	Fletcher, C. et al. Purification and Characterization of OTF-1, a Transcription Factor Regulating Cell Cycle Expression of a Human Histone H2b Gene. <i>Cell</i> 51, 783-793 (1987).	
	AP	Foster, J. et al. An Immunoglobulin Promoter Displays Cell-Type Specificity Independently of the Enhancer. <i>Nature</i> 315, 423-425 (1985).	
	AQ	Fried, M. & Crothers, D.M. Equilibria and Kinetics of lac Repressor-Operator Interactions by Polyacrylamide gel Electrophoresis. <i>Nucleic Acids Res.</i> 9, 6505-6524 (1981).	
	AR	Garner, M.M. & Revzin, A. A Gel Electrophoresis Method for Quantifying the Binding of Proteins to Specific DNA Regions: Application to Components of the Escherichia coli Lactose Operon Regulatory System. <i>Nucleic Acids Res.</i> 9, 3047-3060 (1981).	
	AS	Gerster, T. et al. Cell Type-Specificity Elements of the Immunoglobulin Heavy Chain Gene Enhancer. <i>EMBO J.</i> 6, 1323-1330 (1987).	
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	AU	Goodbourn, S. et al. Human Beta-Interferon Gene Expression is Regulated by an Inducible Enhancer Element. <i>Cell</i> 41, 509-520 (1985).	
	AV	Gosh, S. & Baltimore, D. Activation in vitro of NF- κ B by phosphorylation of its inhibitor I κ B. <i>Nature</i> 344, 678-682 (1990).	
	AW	Haskill, S. et al. Characterization of an Immediate-Early Gene Induced in Adherent Monocytes that Encodes I κ B-like Activity. <i>Cell</i> 65, 1281-1289 (1991).	
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	AY	Karin, M. et al. Activation of a Heterologous Promoter in Response to Dexamethasone and Cadmium by Metallothionein Gene 5' Flanking DNA. <i>Cell</i> 36, 371-379 (1984).	
	AZ	Kawakami, K. et al. Identification and Purification of a Human Immunoglobulin-Enhancer-Binding Protein (NF- κ B) that Activates Transcription from a Human Immunodeficiency Virus Type 1 Promoter in Vitro. <i>PNAS</i> 85, 4700-4704 (1988).	
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	BC	Lenardo, M.J. et al. NF- κ B Protein Purification from Bovine Spleen: Nucleotide Stimulation and Binding Site Specificity. <i>PNAS</i> 85, 8825-8829 (1988).	

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		January 4, 2002	1646
		Lenardo, M.J. et al. Protein-Binding Sites in Ig Gene Enhancers Determine Transcriptional Activity and Inducibility. <i>Science</i> 236, 1573-1577 (1987).	
	BE	Leonard et al. Interleukin 2 Receptor Gene Expression in Normal Human T Lymphocytes. <i>PNAS</i> 82, 6281-6285 (1985).	
	BF	Leung, K. & Nabel, G.J. HTLV-1 Transcription Induces Interleukin-2 Receptor Expression Through an NF- κ B-like Factor. <i>Nature</i> 333, 776-778 (1988).	
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	BJ	Nabel, G. & Baltimore, D. An Inducible Transcription Factor Activates Expression of Human Immunodeficiency Virus in T Cells. <i>Nature</i> 326, 711-713 (1987).	
	BK	Nelsen, B. et al. The NF- κ B-Binding Site Mediates Phorbol Ester-Inducible Transcription in Nonlymphoid Cells. <i>Mol. Cell Biol.</i> 8, 3526-3531 (1988).	
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	BM	Picard, D. & Schaffner, W. A Lymphocyte-specific Enhancer in the Mouse Immunoglobulin kappa Gene. <i>Nature</i> 307, 80-82 (1984).	
	BN	Queen, C. & Baltimore, D. Immunoglobulin Gene Transcription is Activated by Downstream Sequence Elements. <i>Cell</i> 33, 741-748 (1983).	
	BO	Queen, C. & Stafford, J. Fine Mapping of an Immunoglobulin Gene Activator. <i>Mol. Cell Biol.</i> 4, 1042-1049 (1984).	
	BP	Ruben, S. et al. Cellular Transcription Factors and Regulation of IL-2 Receptor Gene Expression by HTLV-1 tax Gene Product. <i>Science</i> 241, 89-92 (1988).	
	BQ	Sassone-Corsi, P. et al. A Trans-acting Factor is Responsible for the Simian Virus 40 Enhancer Activity in Vitro. <i>Nature</i> 313, 458-463 (1985).	
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	BS	Sen, R. & Baltimore, D. Inducibility of k Immunoglobulin Enhancer-Binding Protein, NF- κ B by a Posttranslational Mechanism. <i>Cell</i> 47, 921-928 (1986).	
	BT	Sen, R. & Baltimore, D. Multiple Nuclear Factors Interact with the Immunoglobulin Enhancer Sequences. <i>Cell</i> 46, 705-716 (1986).	

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BU	Singh, H. et al. A Nuclear Factor that Binds to be Conserved Sequence Motif in Transcriptional Control Elements of Immunoglobulin Genes. <i>Nature</i> 319, 154-158 (1986).		
BV	Staudt, L. et al. Cloning of a Lymphoid-specific cDNA Encoding a Protein Binding the Regulatory Octamer DNA Motif. <i>Science</i> 241, 577-580 (1988).		
BW	Staudt, L.M. et al. A Lymphod-specific Protein Binding to the Octamer Motif of Immunoglobulin Promoter in Vitro. <i>Nature</i> 323, 640-643 (1986).		
BX	Strauss, F. & Varshavsky, A. A Protein Binds to a Satellite DNA Repeat at Three Specific Sites that Would be Brought into Mutual Proximity by DNA Folding in the Nucleosome. <i>Cell</i> 37, 889-901 (1984).		
BY	Treisman, R. Transient Accumulation of c-fos RNA Following Serum Stimulation Requires a Conserved 5' Element and c-fos 3' Sequences. <i>Cell</i> 42, 889-902 (1985).		
BZ	Wall, R. et al. A Laible Inhibitor Blocks Immunoglobulin k-light-chain-gene Transcription in a Pre-B Leukemic Cell Line. <i>PNAS</i> 83, 295-298 (1986).		
CA	Wirth, T. & Baltimore, D. Nuclear factor NF-kB can Interact Functionally with its Cognate Binding Site to Provide Lymphoid-Specific Promotor Function. <i>EMBO J.</i> 7, 3109-3113 (1988).		
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EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

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